#4

35.C13989 DI

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Appln. of: Application No.: 09/428,651 Filed: October 28, 1999 TAIZOU HORI, ET AL. Application No.: Unassigned) :) :)	Examiner: Unassigned Group Art Unit: Unassigned	1c868 U.S. PTO 09/901700
Filed: Concurrently Herewith) :		•
For: VARIABLE-MODE INFORMATION SIGNAL REPRODUCTION APPARATUS WITH TRACKING CONTROL (AS AMENDED)) :) :	July 11, 2001	

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

REMARKS

The documents listed on the enclosed PTO-1449 form may be considered pertinent since they were cited during prosecution of parent application no. 09/428,651, filed October 28, 1999. In accordance with 37 C.F.R. § 1.98(d), copies of the cited

documents are available in the parent application and therefore are not provided herewith, although copies may be provided upon request by the Examiner.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for

Registration No. 32/078

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801

Facsimile: (212) 218-2200

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)

July 11, 2001

ATTY DOCKET NO. 35.C13989 DI

APPLICATION NO. (Unassigned) (Div. of 09/428,651; Filed October 28, 1999)

APPLICANT

TAIZOU HORI, ET AL.

FILING DATE

Concurrently Herewith

GROUP

Unassigned

	<u> </u>		Concurrently Herewith		Unas	signed
		T	U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,365,380	11/1994	TANAKA	360	10.30	
	5,966,496	10/1999	TAKIMOTO	386	95	
	6,104,862	08/2000	AMADA, ET AL.	386	35	0140
	5,642,457	06/1997	HIGURASHI	386	35	1100
	5,550,640	08/1996	TSUBOI, ET AL.	386	33	n 6/60
	5,394,275	02/1995	IKETANI, ET AL.	386	35	108
	5,499,145	03/1996	AZUMA, ET AL.	386	35	
	6,026,212	02/2000	OGURO	386	67	
	5,991,504	11/1999	HORI	386	112	
	5,465,180	11/1995	AMADA, ET AL.	386	35	
			FOREIGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO YES/NO/ OR ABSTRAC
						·
						<u>.</u>
,		OTHER DOCU	MENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	ļ	<u> </u>	
			(C) (Incoding Addio), The, Date, Fertilient Fages, Etc.)			
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EXAMINER			DATE CONSIDERED			
						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet <u>1</u> of <u>1</u>

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